IAP10 Rec'd PCT/PTO 08 DE GTC2105 ev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

| 1 1 | DESIGNATED/ELECTED | 2200-01000 | | | | | |
|---|---|--|--|--|--|--|--|
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/536,663 | | | | |
| | | INTERNATIONAL FILING DATE 1 December 2003 | PRIORITY DATE CLAIMED 30 November 2002 | | | | |
| | TITLE OF INVENTION AUTOMATIC THERMAL DESORPTION APPARATUS AND METHOD | | | | | | |
| APPLICAI COLE, A | NT(S) FOR DO/EO/US .lun | | | | | | |
| Applicant | t herewith submits to the United Sta | ates Designated/Elected Office (DO/EC | O/US) the following items and other information: | | | | |
| 1. | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| 2. 🔽 | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | |
| 4. | The US has been elected (Article 31). | | | | | | |
| 5. | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | |
| | a. is attached hereto (required | only if not communicated by the Internation | nal Bureau). | | | | |
| | b. has been communicated by the International Bureau. | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| | a. is attached hereto. | | | | | | |
| 7. | b. Has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| · | | emational Application under PCT Article 19 (red only if not communicated by the Internal | | | | | |
| | | by the International Bureau. | iloliai Buleauj. | | | | |
| | | ever, the time limit for making such amendm | nents has NOT expired. | | | | |
| | d. have not been made and v | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. 🗸 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Preliminary E | xamination Report under PCT | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | |
| 11. | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | |
| 12. | An assignment document for recording | g. A separate cover sheet in compliance wil | th 37 CFR 3.28 and 3.31 is included. | | | | |
| 13. | A preliminary amendment. | | | | | | |
| 14. | An Application Data Sheet under 37 C | FR 1.76. | , | | | | |
| 15. | A substitute specification. | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | |
| 18. 🔲 | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | |
| 19. 🖵 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

PTO-1390 (Rev. 07-2005)
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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/536,663 | | | PCT/GB03/05242 2 | | 2200-01000 | | |
|---|------------------|----------------|------------------|------------------------|----------------------|--------------|--|
| 20. Other items or information: | | | | | | | |
| Copy of Notice to File Missing Requirements (2 p.) | | | | | | | |
| The following | ng fees have b | peen submitted | | | CALCULATIONS | PTO USE ONLY | |
| 21. Basic na | itional fee (37 | CFR 1.492(a)) | | \$300 | \$ | | |
| 22. Examina | tion fee (37 C | FR 1.492(c)) | | | | | |
| If the written opinion by IPEA/US All other situations | indicates all cl | \$ | | | | | |
| 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | \$ | | |
| TO | FAL OF 24 2 | 2 and 22 = | | | | | |
| TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| Total Sheets Ex | | | | RATE | | | |
| - 100 = /50 = x \$250 | | x \$250 | \$ | I | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | | | |
| CLAIMS | NUMB | BER FILED | NUMBER EXTRA | RATE | \$ | | |
| Total claims | | - 20 = | | × \$ 50 | \$ | | |
| Independent claims - 3 = x \$20 | | x \$200 | \$ | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | | \$ | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | CALCULATIONS = | \$ | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | | | |
| SUBTOTAL = | | | | \$ 0 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | | | |
| TOTAL NATIONAL FEE = | | | | \$ | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | | |
| TOTAL FEES ENCLOSED = | | | | | \$ | | |
| | | | | Amount to be refunded: | \$ | | |
| | | | | | Amount to be charged | \$ 0 | |

PTO-1390 (Rev. 07-2005)
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| . \Box | A check in the amount of \$ to cover the | e above fees is enclosed. | | | | | |
|--|---|--|--|--|--|--|--|
| | | | | | | | |
| b. L Y | Please charge my Deposit Account No. $03-2769$ in the amount A duplicate copy of this sheet is enclosed. | nt of \$ $\frac{0}{}$ to cover the above fees. | | | | | |
| | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2769 . A duplicate copy of this sheet is enclosed. | | | | | | |
| | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
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| CEND M | L CORRESPONDENCE TO | | | | | | |
| SEND AL | LL CORRESPONDENCE TO: | | | | | | |
| Tod T. 7 | | SIGNATURE | | | | | |
| | Y ROSE, P. C. | Tod T. Tumey | | | | | |
| P. O. Bo | 0x3267 1; TX 77253-3267 | NAME | | | | | |
| Houston | i, 1X //255-520/ | 47,146 | | | | | |
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

<u>,</u>

ATTY_DOCKETNO:

10/536,663

Alun Cole

2200-01000

PCT/GB03/05242

I.A. FILING DATE

PRIORITY DATE

12/01/2003

11/30/2002

23505 CONLEY ROSE, P.C. P. O. BOX 3267 HOUSTON, TX 77253-3267

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DEC 0 5 2005 & 9.

CONLEY ROSE P.C. - HOU

CONFIRMATION NO. 9824 371 FORMALITIES LETTER

INTERNATIONAL APPLICATION NO.

OC00000017544060

Date Mailed: 11/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/27/2005
- Copy of the International Search Report filed on 05/27/2005
- Copy of IPE Report filed on 05/27/2005
- Preliminary Amendments filed on 05/27/2005
- Information Disclosure Statements filed on 05/27/2005
- U.S. Basic National Fees filed on 05/27/2005
- Priority Documents filed on 05/27/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.



Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/536.663 | PCT/GB03/05242 | 2200-01000 |

FORM PCT/DO/EO/905 (371 Formalities Notice)